

"Express Mail" Label No. EV 325 768 415 US
Date of Deposit January 30, 2004

PATENT
Atty Docket No.: A5152D5-T39550
Client Ref. No. 005152 D5
TTC No. 016301-039550US

I hereby certify that this is being deposited with the United States Postal
Service "Express Mail Post Office to Address" service under 37 CFR 1.10
on the date indicated above and is addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: *Anna L. McNeill*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai, et al.

Application No.: Not yet assigned

Filed: Herewith

For: MULTI-CORE TRANSFORMER
PLASMA SOURCE

Customer No. 20350

Examiner: Not yet assigned

Art Unit: Not yet assigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

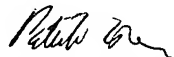
The references cited on attached form PTO-1449 are being called to the attention of the Examiner. This application is a divisional application of and relies on U.S. Appl. No. 09/839,360, filed on April 20, 2001 (the "parent application") for an earlier effective filing date under 35 U.S.C. § 120. All of the references were submitted to or by the U.S. Patent and Trademark Office in the parent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Patrick M. Boucher
Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP
Telephone (303) 571-4000
Fax (303) 571-4321
PMB/nlm
60129337 v1

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5152D5/T39550		Application No.: Unassigned	
			Applicant: CANFENG LAI et al.			
			Filing Date: Herewith		Group: Unassigned	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
___ AA	3,291,715	12/13/66	G.S. Anderson	204	298	
___ AB	4,086,506	04/1978	Kustom et al.	310	74	
___ AC	4,277,306	07/1981	Ohkawa	176	9	
___ AD	4,431,898	02/1984	Reinberg et al.	219	121	
___ AE	4,585,986	04/1986	Dyer	323	271	
___ AF	4,666,734	05/1987	Kamiya et al.	427	39	
___ AG	4,713,208	12/1987	Furth et al.	376	137	
___ AH	4,859,399	08/1989	Bussard	376	133	
___ AI	4,863,671	09/1989	Okada	376	143	
___ AJ	4,868,919	09/1989	Tanaka et al.	355	27	
___ AK	5,014,321	05/1991	Klein	381	111	
___ AL	5,041,760	08/1991	Koloc	315	111.41	
___ AM	5,174,875	12/1992	Hurwitt et al.	204	192.12	
___ AN	5,290,382	03/01/94	Zarowin et al.	156	345	
___ AO	5,464,476	11/07/95	Gibb et al.	118	723 MP	
___ AP	5,505,780	04/1996	Dalvie et al.	118	723	
___ AQ	5,514,246	05/1996	Blalock	156	643.1	
___ AR	5,560,776	10/01/96	Sugai et al.	118	723 AN	
___ AS	5,591,493	01/07/97	Paranjpe et al.	427	569	
___ AT	5,619,103	04/08/97	Tobin et al.	315	111.21	
___ AU	5,632,869	05/1997	Hurwitt et al.	204	192.12	
___ AV	5,811,022	09/1998	Savas et al.	216	68	
___ AW	5,939,886	08/1999	Turner et al.	324	464	
___ AX	5,998,933	12/07/99	Shun'ko	315	111.51	
___ AY	6,164,240	12/26/00	Nikulin	118	723 I	
___ AZ	6,392,351	05/02	Scun'ko	315	111.51	
___ BA	6,486,431	11/02	Smith et al.	219	121.57	
___ BB	6,432,260	08/02	Mahoney et al.	156	345.35	
___ BC	09/246,036	---	Chandran et al.	---	---	02/1999
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5152D5/T39550		Application No.: Unassigned		
			Applicant: CANFENG LAI et al.				
			Filing Date: Herewith		Group: Unassigned		
						(Yes/No)	
_____ BD	WO 90/10945	09/20/90	WIPO	H01J	27/16	yes	
_____ BE	WO 99/00823	01/07/99	WIPO	H01J	37/32	yes	
_____ BF	JP 2-260399	10/23/90	Japan	H05H	1/46	yes (abstract only)	
_____ BG	JP 5-166595	07/02/93	Japan	H05H	1/46	yes (abstract only)	
_____ BH	JP 5-144594	06/11/93	Japan	H05H	1/46	yes (abstract only)	

